

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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**Sweeney, Tara**

Assistant Secretary (Indian Affairs), Department of the Interior

Report Year: 2019

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Sweeney, Tara [electronically signed on 05/14/2019 by Sweeney, Tara in Integrity.gov]**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ de la Vega, Scott, Certifying Official [electronically signed on 11/26/2019 by de la Vega, Scott in Integrity.gov]**

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Other review conducted by

**/s/ Gottry, Heather, Ethics Official [electronically signed on 11/26/2019 by Gottry, Heather in Integrity.gov]**

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U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 01/03/2020 by Granahan, Megan in Integrity.gov]

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Data Revised 01/03/2020

Data Revised 11/24/2019

Data Revised 11/08/2019

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Arctic Slope Regional Corporation (ASRC)	Anchorage, Alaska	Corporation	Executive Vice President	1/2011	6/2018

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	ASRC, deferred compensation:	No			
1.1	Vanguard LifeStrategy Growth	Yes	\$100,001 - \$250,000		None (or less than \$201)
2	ASRC Employee 401K:	No			
2.1	SWPPX- Schwab S&P 500 Index	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.2	JLGMX- JPMorgan Large Cap Growth R6	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.3	IYMIX-Ivy Mid Cap Growth I	Yes	\$100,001 - \$250,000		None (or less than \$201)
3	ASRC	N/A		Salary	\$645,104
4	ASRC Employee Incentive Program	N/A		Cash payment	\$321,023
5	ASRC Long-Term Incentive Plan	N/A		Cash payment	\$297,714

## 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Arctic Slope Regional Corporation	Anchorage, Alaska	ASRC 401(k) Defined Contribution Plan. I will continue to participate in this 401(k) defined contribution plan after my separation, but my former employer will not make further contributions after my separation.	2/2005
2	Arctic Slope Regional Corporation	Anchorage, Alaska	ASRC Deferred Compensation Plan. I will continue to participate in this deferred compensation plan. The plan sponsor will not make further contributions after my separation.	8/2012

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Six-7 Strategies, LLC (Management consulting)	N/A	\$15,001 - \$50,000	business income	
2	Six-7 Strategies, LLC (Management consulting)	N/A		salary	

#### 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account #1 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	U.S. bank account #3 (cash)		N/A	\$15,001 - \$50,000		None (or less than \$201)
3	U.S. bank account #2 (cash)		N/A	\$50,001 - \$100,000		None (or less than \$201)
4	John Hancock Freedom 529 Portfolio 2021-2024: Alaska		Yes	\$100,001 - \$250,000		None (or less than \$201)
5	Arctic Slope Regional Corporation	See Endnote	N/A	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
6	Southside Golf and Cigar, LLC	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
7	Olgoonik Corporation	See Endnote	No	None (or less than \$1,001)		None (or less than \$201)
8	Estate of a family member (Inheritance Distribution)	See Endnote	N/A			\$5,001 - \$15,000
9	Schwab U.S. Mid-Cap ETF		Yes	\$1,001 - \$15,000		
10	FYX (First Trust Small Cap ETF)		Yes	\$1,001 - \$15,000		
11	FDM (First Trust Microcap Index ETF)		Yes	\$1,001 - \$15,000		
12	FEX (First Trust Large Cap Core ETF)		Yes	\$1,001 - \$15,000		
13	FNX (First Trust Mid Cap ETF)		Yes	\$1,001 - \$15,000		
14	EWEM (Invesco Emerging Markets ETF)		Yes	\$1,001 - \$15,000		
15	RPG (Invesco SNP500 Pure Growth ETF)		Yes	\$1,001 - \$15,000		
16	Schwab International Equity ETF		Yes	\$1,001 - \$15,000		
17	Schwab U.S. Large-Cap ETF		Yes	\$1,001 - \$15,000		
18	Schwab U.S. Small-Cap ETF		Yes	\$1,001 - \$15,000		
19	SYV (Spider Systematic Value ETF)		Yes	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20	SPDR MSCI ACWI IMI	Yes	\$1,001 - \$15,000		
21	SPDR STOXX Europe 50	Yes	\$1,001 - \$15,000		
22	DFCEX (DFA Emerging Markets Core Equity)	Yes	\$15,001 - \$50,000		
23	DFGEX (DFA Global Real Estate Fund)	Yes	\$15,001 - \$50,000		
24	DFIEX (DFA International Core Equity)	Yes	\$50,001 - \$100,000		
25	DFEOX (DFA US Core Equity)	Yes	\$50,001 - \$100,000		
26	DFLVX (DFA US Large Cap Value)	Yes	\$15,001 - \$50,000		
27	DFSTX (US Small Cap)	Yes	\$15,001 - \$50,000		
28	Schwab U.S. Mid-Cap ETF	Yes	\$15,001 - \$50,000		
29	FYX (First Trust Small Cap ETF)	Yes	\$15,001 - \$50,000		
30	FDM (First Trust Select Microcap ETF)	Yes	\$1,001 - \$15,000		
31	FEX (First Trust Large Cap ETF)	Yes	\$50,001 - \$100,000		
32	FNX (First Trust Mid Cap ETF)	Yes	\$15,001 - \$50,000		
33	EWEM (Invesco Emerging Markets ETF)	Yes	\$1,001 - \$15,000		
34	RPG (Invesco SNP500 Pure Growth)	Yes	\$15,001 - \$50,000		
35	Schwab International Equity ETF	Yes	\$1,001 - \$15,000		

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
36	Schwab US Large Cap (SCHX)		Yes	\$15,001 - \$50,000		
37	Schwab U.S. Small-Cap ETF		Yes	\$15,001 - \$50,000		
38	SYV (Spider Systematic Value ETF)		Yes	\$15,001 - \$50,000		
39	SPDR MSCI ACWI IMI		Yes	\$1,001 - \$15,000		
40	SPDR STOXX Europe 50		Yes	\$1,001 - \$15,000		
41	U.S. Brokerage Account (Cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
42	iShares S&P 500 Value Index		Yes	\$15,001 - \$50,000		
43	Fidelity Large Cap Value		Yes	\$15,001 - \$50,000		
44	Apple Inc. - Common Stock		No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
45	DFCEX (DFA Emerging Markets ETF)		Yes	\$1,001 - \$15,000		
46	DFGEX (DFA Global Real Estate)		Yes	\$1,001 - \$15,000		
47	DFIEX (DFA International Core)		Yes	\$15,001 - \$50,000		
48	DFEOX (DFA US Core)		Yes	\$15,001 - \$50,000		
49	DFLVX (DFA US Large Cap Value)		Yes	\$1,001 - \$15,000		
50	DFXTS (DFA US Small Cap)		Yes	\$1,001 - \$15,000		
51	Arctic Slope Regional Corporation	See Endnote	No	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
52	Arctic Slope Regional Corporation	See Endnote	No	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
53	Estate of a family member (Value not immediately known)	N/A			
54	U.S. Brokerage Account (Cash)	No	\$1,001 - \$15,000		
55	Alaska Permanent Fund Dividend	See Endnote	N/A	Dividends	\$5,001 - \$15,000

## 7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Boeing Co stock (BA)		Purchase	01/09/2019	\$1,001 - \$15,000
2	Apple Inc. - Common Stock (AAPL)		Sale	01/09/2019	\$15,001 - \$50,000
3	American Funds Trgt Date Ret 2040 R6	See Endnote	Sale	10/01/18	\$100,001 - \$250,000
4	American Funds Trgt Date Ret 2040 R6	See Endnote	Sale	09/07/2018	\$15,001 - \$50,000
5	Vanguard LifeStrategy Growth	See Endnote	Purchase	10/01/2018	\$100,001 - \$250,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	US Bank	Mortgage on Personal Residence	\$250,001 - \$500,000	2008	3.75%	15 Years



#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
2	Wells Fargo Home Mortgage	Mortgage on Personal Residence	\$250,001 - \$500,000	2013	4.25%	30 years

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
6.	5	Stock ownership dividends from Alaska Native Corporation ASRC. This payment range is for distributions made to me.
6.	6	Spouse is the co-owner of a storage unit and golf simulator, no reportable income.
6.	7	Dependent child inherited original ANCSA stock shares in Olgoonik Corporation, an Alaska Native village corporation for the village of Wainwright.
6.	8	Income distribution from family member's estate.
6.	51	Stock ownership dividends from Alaska Native Corporation ASRC. Payment range for distribution made to my dependent child #1.
6.	52	Stock ownership dividends from Alaska Native Corporation ASRC. Payment range for distribution made to my dependent child #2.
6.	55	These dividend payments are made to qualified Alaskan residents from the State of Alaska. This payment range is for me (filer), my husband (spouse), and two dependent children.
7.	3	Conversion of ASRC Deferred Compensation Plan to a new fund due to new plan manager.
7.	4	Sale of asset in order to receive annual deferred compensation under agreement.

PART	#	ENDNOTE
7.	5	Conversion transfer to new plan manager for ASRC Deferred Compensation Plan.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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